

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Judson E. Veazey

Confirmation No.:

Application No.:

Examiner:

Filing Date: August 20, 2003

Group Art Unit:

Title: Data Compression in Multiprocessor Computers

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

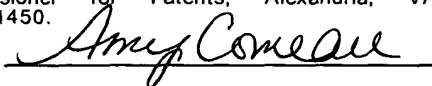
It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EV148864618US**

Date of Deposit **August 20, 2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By



Typed Name: Amy Comeau

Respectfully submitted,

Judson E. Veazey

By



Robert Plotkin, Esq.

Attorney/Agent for Applicant(s)

Reg. No. **43,861**

Date: **August 20, 2003**

**PATENT APPLICATION**

Sheet 1 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>200209781-1</b>	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT <b>Judson E. Veazey</b>		
	FILING DATE <b>August 20, 2003</b>	GROUP	

**REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	0147785 A1	Oct. 10, 2002	Venkatsubramanian	
	1B	0087828 A1	Jul. 4, 2002	Kumar Arimilli	
	1C	0083299 A1	Jun. 27, 2002	Van Huben	
	1D	6,094,710	Jul. 25, 2000	Kumar Arimilli	
	1E	5,051,745	Sep. 24, 1991	Katz	
	1F	5,049,881	Sep. 17, 1991	Gibson	
	1G	4,988,998	Jan. 29, 1991	O'Brien	
	1H	4,943,869	Jul. 24, 1990	Horikawa	
	1I	4,906,991	Mar. 6, 1990	Fiala	
	1J	4,905,297	Feb. 27, 1990	Langdon	
	1K	4,814,746	Mar. 21, 1989	Miller	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

1Q	DR. KAMRAN SAYRAFIAN, Overview of Switch Fabric Architectures, July 2002, Zagros Networks, 13 pgs.
1R	JEAN-LOUP GAILLY, comp.compression Frequently Asked Questions (part 1/3), September 1999, 69 pgs.
1S	JEAN-LOUP GAILLY, comp.compression Frequently Asked Questions (part 2/3), September 1999, 42 pgs.

EXAMINER	DATE CONSIDERED
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**PATENT APPLICATION**

Sheet 2 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	4,701,745	Oct. 20, 1987	Waterworth	
	2B	4,622,545	Nov. 11, 1986	Atkinson	
	2C	4,586,027	Apr. 29, 1986	Tsukiyama	
	2D	4,558,302	Dec. 10, 1985	Welch	
	2E	4,494,108	Jan. 15, 1985	Langdon	
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

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	2L					
	2M					
	2N					
	2O					
	2P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

2Q	JEAN-LOUP GAILLY, comp.compression Frequently Asked Questions (part 3/3), September 1999, 13 pgs.
2R	BRUCE MCCORMICK, Symmetric Multiprocessing (SMP), September 1997, 4 pgs.
2S	CURT SCHIMMEL, UNIX Systems for Modern Architectures: Symmetric Multiprocessing and Caching for Kernel Programmers, Chapter 8, 1994, 26 pgs.

EXAMINER

DATE CONSIDERED